

TO: USPTO

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SEP 15 2004

Deliver to: USPTO Art Group: 2838
 Facsimile No.: 703-872-9306 Date: September 15, 2004
 From: William W. Schaal, Reg. No. 39,018
 Our Docket No.: 42P6963R Number of pages 9 including this sheet.
 Application No.: 10/678,055 Filing Date: 10/2/2003
 Docket Due Date(s): 5/23/2004

Enclosed are the following documents:

- | | |
|---|---|
| <input type="checkbox"/> Amendment: _____ (_____ pgs) | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Appeal Brief (in triplicate) (_____ pgs) | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Application: _____
(_____ pgs) w/cover & abstract) | <input type="checkbox"/> Petition for: _____ |
| <input type="checkbox"/> Assignment & Cover Sheet (_____ pgs) | <input type="checkbox"/> Request for Continued Examination (RCE) |
| <input checked="" type="checkbox"/> Certificate of Facsimile | <input type="checkbox"/> Reply Brief (_____ pgs) |
| <input type="checkbox"/> Continued Prosecution Application (CPA) | <input type="checkbox"/> Request & Certification Under 35 USC 122(b)(2)(B)(i) |
| <input type="checkbox"/> Declaration & POA (_____ pgs) | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request |
| <input type="checkbox"/> Drawings: _____ sheets, _____ figures | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter |
| <input type="checkbox"/> Extension of Time: _____ | <input type="checkbox"/> Response to Written Opinion (_____ pgs) |
| <input checked="" type="checkbox"/> Fee Transmittal (in duplicate) | <input type="checkbox"/> Terminal Disclaimer |
| <input checked="" type="checkbox"/> IDS & PTO/SB/08 (3 pgs) | <input type="checkbox"/> Transmittal of Publication Fee Due |
| <input type="checkbox"/> Other _____ | <input checked="" type="checkbox"/> Transmittal Letter |

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Susan McFarlane

09/15/2004

Date

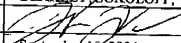
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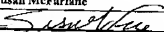
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Using 2 pgs

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application No.	10/678,055
		Filing Date	October 2, 2003
		First Named Inventor	Ramkarthik Ganesan
		Art Unit	2838
		Examiner Name	
Total Number of Pages in This Submission	6	Attorney Docket Number	42P6963R

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/06 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Charge or Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 80px; width: 100%;"></div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William W. Schaal, Reg. No. 39,018 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	September 15, 2004

CERTIFICATE OF MAILING/TRANSMISSION	
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Typed or printed name	Susan McFarlane
Signature	
Date	September 15, 2004

Based on PTO/SB/01 (04-04) as amended by Blakely, Sokoloff, Taylor & Zafman (v) 08/04/2004.
 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

FEE TRANSMITTAL for FY 2004

Effective 10/01/2004, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known

Application Number 10/678,055
 Filing Date October 2, 2003
 First Named Inventor Raminthik Ganesan
 Examiner Name
 Art Unit 2838
 Attorney Docket No. 42P6963R

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	365	Utility filing fee			
1002	340	2002	170	Design filing fee			
1003	530	2003	265	Plant filing fee			
1004	770	2004	385	Reissue filing fee			
1005	180	2005	80	Provisional filing fee			

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES

Total Claims 20 * = 0 X 15.00 = \$0.00
 Independent Claims 5 * = 0 X 66.00 = \$0.00
 Multiple Dependent

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20			
1201	88	2201	49	Independent claims in excess of 3			
1203	290	2203	145	Multiple Dependent claims, if not paid			
1204	98	2204	43	**Reissue independent claims over original patent			
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent			

SUBTOTAL (2)

(\$)

0.00

*or number previously paid, if greater, for Reissue, see below

Other fee (specify)

*Rounded by Rounding Fee Paid

SUBTOTAL (3)

(\$)

3. ADDITIONAL FEES

Large Entity

Small Entity

Fee Code

Fee (\$)

Fee Description

Fee Paid

1051

130

2051

65

Surcharge - late filing fee or cash

Surcharge - late provisional filing fee or cover sheet

1052

50

2052

25

2053

130

2053

130

Non-English specification

1012

2,520

1912

2,520

For filing a request for ex parte reexamination

1004

820 *

1004

820 *

Requesting publication of SJR prior to Examiner action

1005

1,640 *

1005

1,640 *

Requesting publication of SJR after Examiner action

1251

110

2251

65

Extension for reply within first month

1252

430

2252

210

Extension for reply within second month

1253

300

2253

475

Extension for reply within third month

1254

1,460

2254

740

Extension for reply within fourth month

1255

2,010

2255

1,005

Extension for reply within fifth month

1404

330

2401

185

Notice of Appeal

1402

330

2402

185

Filing a brief in support of an appeal

1403

290

2403

145

Request for oral hearing

1451

1,510

2451

1,510

Petition to restore a public use proceeding

1452

110

2452

85

Petition to revive - unavoidable

1453

1,330

2453

685

Petition to revive - unintentional

1501

1,330

2501

685

Utility issue fee (or reissue)

1602

480

2502

240

Design issue fee

1503

840

2503

320

Plant issue fee

1450

130

2400

130

Portions to the Commissioner

1807

50

1807

50

Processing fee under 37 CFR 1.17(g)

1808

180

1808

190

Submission of Information Disclosure Sheet

8021

40

8021

40

Recording each patent assignment per property (times number of property)

1099

770

1808

385

Filing a submission after final rejection (37 CFR § 1.125(a))

1810

770

2010

355

For each additional invention to be examined (37 CFR § 1.129(b))

1981

770

2801

385

Request for Continued Examination (RCE)

1802

900

1802

600

Request for expedited examination of a design application

SUBMITTED BY

Name (Print/Type)

William W. Schaal

Registration No. (Attorney/Agent)

39,018

Complete (if applicable)

Signature

Telephone

(714) 557-3800

Date

09/15/04

Based on: PTO/SB117 (10-02) as modified by Blakely, Sokoloff, Taylor & Zafman (w/1) 02/10/2004.
 SEND TO: Commissioner (e-mail: P.O. Box 1463, Alexandria, VA 22313-1463)

FEE TRANSMITTAL for FY 2004

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.
TOTAL AMOUNT OF PAYMENT (\$)
0.00

Complete if Known

Application Number 10/678,055
 Filing Date October 2, 2003
 First Named Inventor Ramkarthik Ganesan
 Examiner Name
 Art Unit 2838
 Attorney Docket No. 42P6963R

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to (check all that apply)

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FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Paid
1001	770	2001	385	Utility filing fee		
1002	340	2002	170	Design filing fee		
1003	530	2003	265	Plant filing fee		
1004	770	2004	295	Reissue filing fee		
1005	180	2005	80	Provisional filing fee		
SUBTOTAL (1)						(5)

2. EXTRA CLAIM FEES

Total Claims	Fee Code	Fee (\$)	Extra Claims	Fee Code	Fee (\$)	Fee Paid
Independent Claims	20*	0	X	18.00	A	\$0.00
Multiple Dependents	5*	0	X	86.00	A	\$0.00

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Paid
1202	18	2202	9	Claims in excess of 20		
1201	86	2201	43	Independent claims in excess of 3		
1203	200	2203	145	Multiple dependent claims, if not paid		
1204	86	2204	43	Reissue independent claims over original patent		
1205	18	2205	9	Multiple claims in excess of 20 and over original patent		
SUBTOTAL (2)						(6)

*or number previously paid, if greater. For Reissue, see below

3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or non		
1052	90	2002	25	Surcharge - late provisional filing fee or cover sheet		
2053	130	2053	130	Non-English specification		
1612	2,520	1612	2,520	For filing a request for ex parte reexamination		
1604	920*	1604	920*	Requesting publication of BIF prior to Examiner action		
1605	1,640*	1605	1,640*	Requesting publication of BIF after Examiner action		
1251	110	2251	55	Extension for reply within first month		
1252	430	2252	210	Extension for reply within second month		
1253	590	2253	475	Extension for reply within third month		
1254	1,480	2254	740	Extension for reply within fourth month		
1255	2,010	2255	1,005	Extension for reply within fifth month		
1404	330	2401	165	Notice of Appeal		
1402	330	2402	165	Filing a brief in support of an appeal		
1403	280	2493	145	Request for oral hearing		
1451	1,510	2451	1,510	Petition to Institute a public use proceeding		
1452	110	2452	55	Petition to revive - unavoidable		
1453	1,330	2453	665	Petition to revive - unintentional		
1601	1,330	2501	665	Utility issue fee (or release)		
1602	490	2502	240	Design issue fee		
1503	640	2503	320	Plant issue fee		
1490	130	2490	130	Petitions to the Commissioner		
1907	50	1907	50	Prototyping fee under 37 CFR 1.17(a)		
1806	160	1806	160	Submission of Information Disclosure Sheet		
8021	40	8021	40	Recording each patent assignment per property (minus number of properties)		
1809	770	1809	285	Filing a submission after final rejection (37 CFR § 1.126(a))		
1510	770	2810	385	For each additional invention to be examined (37 CFR § 1.126(b))		
1601	770	2901	385	Request for Continued Examination (RCE)		
1902	900	1902	900	Request for expedited examination of a design application		
Other fee (specify)						
SUBTOTAL (3)						(7)

*Reduced by Risk-Free Fee Paid

SUBMITTED BY

Name (Print/Type)

William W. Schaaf

Registration No.

39,018

Complete (if applicable)

Signature

(Attorney/Agent)

Telephone

(714) 557-3800

Date

09/15/04

Based on PTO/3517 (11-03-04) modified by Gregory, Sokoloff, Taylor & Zafman (w/1) 02/10/2004.
 SEND TO: Commissioner for Patents, P.O. Box 1455, Alexandria, VA 22313-1455

Docket No.: 42P6963R

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

RAMKARTHIK GANESAN

Application No.: 10/678,055

Filed: October 2, 2003

For: **METHOD AND APPARATUS FOR
REDUCING STRESS ACROSS
CAPACITORS USED IN INTEGRATED
CIRCUITS**

Art Group: 2838

Examiner:

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SEP 15 2004

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 09/405,977, filed September 27, 1999) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

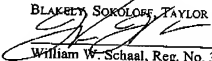
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

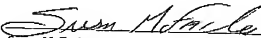
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: May 24, 2004


William W. Schaal, Reg. No. 39,018

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05-24-04

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